

# 田轩

北京市海淀区成府路 43 号

电话: 8610-62706058

清华大学五道口金融学院

传真: 8610-62795175

邮编: 100083

邮箱: [tianx@pbcfs.tsinghua.edu.cn](mailto:tianx@pbcfs.tsinghua.edu.cn)

个人主页: <http://xuantian.info>

---

## 工作经历

---

### · 清华大学

2014 至今 五道口金融学院金融学讲席教授, 博士生导师

2018 至今 五道口金融学院副院长 (分管科研、教师和智库建设)

2024 至今 国家金融研究院院长

2021 - 2025 学术委员会委员

### · 其他职务

2021 至今 智库中心管委会委员

2023 至今 国家治理研究院管委会委员

2024 至今 公益慈善研究院管委会委员

2021 - 2024 国家金融研究院副院长

### · 美国印第安纳大学

2008 – 2016 凯利商学院, 教授(终身教职)、副教授(终身教职)、助理教授

2013 – 2016 Mary Jane Geyer Cain 讲席教授研究员

## 主要社会兼职

---

2023 至今 第十四届全国人大代表

2023 至今 国家自然科学基金委管理学部第九届专家咨询委员会委员

2020-2023 深交所第一届创业板上市委员会委员

2021-2023 深交所第一届创业板并购重组委员会委员

2016-2019 中国证监会第六届上市公司并购重组委员会委员

## 发表学术论文 (谷歌学术引用 17,334, SSRN 下载100,000+)

---

[1] “The Causes and Consequences of Venture Capital Stage Financing,” 2011, *Journal of Financial Economics*, Vol. 101 (1), 132-159.

➤ Winner of **Jensen Prizes** (2<sup>nd</sup> prize) of *Journal of Financial Economics*

[2] “The Role of Venture Capital Syndication in Value Creation for Entrepreneurial

- Firms,” 2012, *Review of Finance*, Vol. 16 (1), 245-283.
- [3] “Preparing the Equity Market for Adverse Corporate Events: A Theoretical Analysis of Firms Cutting Dividends,” (with Thomas Chemmanur), 2012, *Journal of Financial and Quantitative Analysis*, Vol. 47 (5), 993-972.
- [4] “The Dark Side of Analyst Coverage: The Case of Innovation,” 2013, (with Jie He), 2013, *Journal of Financial Economics*, Vol. 109 (3), 856-878.
- Ranked 92 in a list of 100 most cited articles published in the *Journal of Financial Economics* since the paper was published through 2020 (Schwert (2021), *Journal of Financial Economics*, Vol. 140 (3), 1008-1046.)
- [5] “Tolerance for Failure and Corporate Innovation,” (with Tracy Wang), 2014, *Review of Financial Studies*, Vol. 27 (1), 211-255.
- [6] “Financial Development and Innovation: Cross Country Evidence,” 2014, (with Po-Hsuan Hsu and Yan Xu), *Journal of Financial Economics*, Vol. 112 (1), 16-135.
- [7] “Communicating Private Information to the Equity Market before a Dividend Cut: An Empirical Analysis,” (with Thomas Chemmanur), *Journal of Financial and Quantitative Analysis*, forthcoming.
- [8] “Corporate Venture Capital, Value Creation, and Innovation,” 2014, (with Thomas Chemmanur and Elena Loutskina), *Review of Financial Studies* 27, 2434-2473
- [9] “Does Stock Liquidity Enhance or Impede Firm Innovation?” 2014, (with Vivian Fang and Sheri Tice), *Journal of Finance*, Vol. 69 (5), 2085-2125.
- [10] “Does Banking Competition Affect Innovation?” 2015, (with Jess Cornaggia, Yifei Mao, and Brian Wolfe), *Journal of Financial Economics*, Vol. 115 (1), 189-209.
- [11] “Location, Proximity, and M&A Transactions” (with Ye Cai and Han Xia), *Journal of Economics & Management Strategy*, Vol. 25 (3), 688-719
- [12] “Disciplining Delegated Monitors: When Venture Capitalists Fail to Prevent Fraud by Their IPO Firms” (with Gregory Udell and Xiaoyun Yu), *Journal of Accounting and Economics*, Vol. 61 (2-3), 526-544.
- [13] “Do Unions Affect Innovation?” (with Daniel Bradley and Incheol Kim), *Management Science*, Vol. 63 (7), 2251-2271.
- [14] “How do Foreign Institutional Investors Enhance Firm Innovation?” (with Luong Hoang Luong, Fariborz Moshirina, Lily Nguyen, and Bohui Zhang), 2017, *Journal of Financial and Quantitative Analysis*, Vol. 52 (4), 1449-1490
- [15] “What Affects Innovation More: Policy or Policy Uncertainty?” (with Utpal Bhattacharya, Po-Hsuan Hsu, and Yan Xu), *Journal of Financial and Quantitative Analysis*, Vol. 52 (5), 1861-1901.
- [16] “Bank Interventions and Firm Innovation: Evidence from Debt Covenant Violations,” (with Yuqi Gu and Connie Mao), 2017, *Journal of Law and Economics* Vol. 60 (4). 637-671
- [17] “Accessibility and Materialization of Firm Innovation,” (with Ning Jia), 2018, *Journal of Corporate Finance*, Vol. 48 (1), 515-541.

- [18] “Do Antitakeover Provisions Spur Corporate Innovation? A Regression Discontinuity Analysis,” (with Thomas Chemmanur), 2018, *Journal of Financial and Quantitative Analysis*, Vol. 53 (2), 1-32.
- [19] “How Does Hedge Fund Activism Reshape Corporate Innovation?” (with Alon Brav, Wei Jiang, and Song Ma), 2018, *Journal of Financial Economics*, Vol. 130 (2), 237-264
  - Winner of **Jensen Prizes** (1<sup>st</sup> prize) of *Journal of Financial Economics*
- [20] “Corporate Innovation along the Supply Chain,” (with Yongqiang Chu and Wenyu Wang), 2019, *Management Science*, Vol. 65(6), 2445-2466
- [21] “The Real Effect of Privatization: Evidence from China’s Split Share Structure Reform,” (with Yongxian Tan, Xinde Zhang, and Hailong Zhao), 2020, *Journal of Corporate Finance*, Vol. 64 (3), 1-23
- [22] “Asymmetric Cost Behavior and Dividend Policy,” (with Jie He, Huan Yang, and Luo Zuo), 2020, *Journal of Accounting Research*, Vol. 58 (4), 989-1021
- [23] “Peer Monitoring, Syndication, and the Dynamics of Venture Capital Interactions: Theory and Evidence,” (with Onur Bayar and Thomas Chemmanur), 2020 *Journal of Financial and Quantitative Analysis*, Vol. 55 (6), 1875-1914
- [24] “Financial Reporting Frequency and Corporate Innovation,” (with Renhui Fu, Arthur Kraft, Huai Zhang, and Luo Zuo), 2020, *Journal of Law and Economics*, Vol. 63 (3), 501-530
- [25] “Stock Market Liberalization and Innovation,” (with Fariborz Moshirian, Bohui Zhang, Wenrui Zhang), 2021, *Journal of Financial Economics*, Vol. 139 (3), 985-1014.
- [26] “Hard Marriage with Heavy Burdens: Organized Labor as Takeover Deterrents,” (with Wenyu Wang), 2021, *Review of Corporate Finance Studies*, Vol. 10 (2), 306-346.
- [27] “Human-Robot Interaction: When Investors Adjust the Usage of Robo-Advisors in Peer-to-Peer Lending” (with Ruyi Ge, Li Liao, and Eric Zheng), 2021, *Information Systems Research*, Vol. 32 (3), 774-785.
- [28] “Does Customer-base Structure Influence Managerial Risk-taking Incentives?” (with Jie Chen, Xunhua Su, and Bin Xu), 2022, *Journal of Financial Economics*, Vol. 143 (1), 462-483.
- [29] “How Does Human Capital Matter? Evidence from Venture Capital,” (with Lifeng Gu, Ruidi Huang, and Yifei Mao), 2022, *Journal of Financial and Quantitative Analysis*, Vol. 57 (6), 2063-2094.
- [30] “Patent Quality, Firm Value, and Investor Underreaction: Evidence from Patent Examiner Busyness” (with Tao Shu and Xintong Zhan), 2022, *Journal of Financial Economics*, Vol. 143 (3), 1043-1069.
- [31] “Do Place-based Programs Affect Local Innovation and Entrepreneurship?” (with Jiajie Xu), 2022, *Review of Finance*, Vol. 26 (3), 595-635.
- [32] “Do Venture Capital Investors Learn from Public Markets?” (with Bibo Liu),

*Management Science*, Vol. 68 (10), 7274-7297.

- [33] “The Role of Human Capital: Evidence from Corporate Innovation,” (with Tong Liu and Yifei Mao), *Journal of Empirical Finance*, 2023, Vol. 74, 1-20.
- [34] “The Real Effects of Shadow Banking: Evidence from China,” (with Guoqian Tu and Yichu Wang), 2024, *Management Science*, Vol. 70, 8556-8582.
- [35] “Do Short Sellers Affect Corporate Innovation? Evidence from a Policy Experiment,” (with Jie He and Xiao Ren), 2025, *Review of Corporate Finance Studies*, Vol. 14, 125-165.
- [36] “Does Access to Patent Information Help Technological Acquisitions? Evidence from Patent Library Openings,” (with Connie Mao, Yueru Qin, and Chi Zhang), 2025, *Journal of Accounting Research*, Vol. 63, 903-950.
- [37] “Does Political Uncertainty Affect Venture Capital?” (with Yichu Wang and Kailei Ye), 2025, *Review of Corporate Finance Studies*, Vol. 14, 439-481.
- [38] “What a Relief: How do Firms Respond to Competitors’ Listing Delays?” (with Ning Jia, Jiaqi Qian, and Jinxin Yu), 2025, *Contemporary Accounting Research*, Vol. 42, 890-921.
- [39] “Invest Local or Remote? The Effects of COVID-19 Lockdowns on Venture Capital Investment around the World” (with Pengfei Han, Chunrui Liu, and Kexin Wang), *Management Science*, forthcoming.
- [40] “Trademarks in Entrepreneurial Firm Success: Theory and Evidence from Venture Capital Investments in Private Firms” (with Onur Bayar, Thomas Chemmanur, Harshit Rajaiya, and Qianqian Yu), *Entrepreneurship Theory and Practice*, forthcoming.
- [41] “Information Spillovers and Cross Monitoring between the Stock Market and Loan Market,” (with Matthew Billett and Fangzhou Liu), *Journal of Banking and Finance*, forthcoming.
- [42] “Does Trading Spur Specialization? Evidence from Patenting,” (with Pengfei Han and Chunrui Liu), *Management Science*, forthcoming.
- [43] “Do Major Corporate Customers Deter Corporate Misconduct?” (with Jie Chen, Xunhua Su, Bin Xu, and Luo Zuo), *Journal of Accounting and Economics*, forthcoming.
- [44] “The Incentives of SPAC Sponsors,” (with Felix Feng, Thomas Nohel, Wenyu Wang, and Yufeng Wu), *Journal of Financial Economics*, forthcoming.
- [45] “Do Shared Auditors Facilitate Follow-on Innovation?” (with Jiawen Yan and Luo Zuo), *Journal of Accounting Research*, forthcoming.
- [46] “House Speculation and Entrepreneurship,” (with Yichu Wang), *Review of Finance*, forthcoming.

## 发表综述论文及章节

---

- [47] “Finance and Corporate Innovation: A Survey,” (with Jie He), 2018, *Asia-Pacific*

*Journal of Financial Studies*, Vol. 47 (2), 165-212

- [48] “China’s Venture Capital Market,” (with Zhaojun Huang), 2020, in *The Handbook of China’s Financial Systems*, Edited by Marlene Amstad, Guofeng Sun, and Wei Xiong, Princeton Press
- [49] “Institutions and Innovation: A Review of Recent Literature,” (with Jie He), 2020, *Annual Review of Financial Economics*, Vol. 12 (2), 377-398
- [50] “Stage Financing in Venture Capital,” (with Zhaojun Huang), 2023, in *The Palgrave Encyclopedia of Private Equity*, Edited by Douglas Cumming and Benjamin Hammer, Springer
- [51] “Corporate Governance and Innovation: A Survey of Chinese Evidence,” (with Zhaojun Huang), 2025, in *The Handbook of Corporate Governance in China*, Edited by Martin Conyon and Lerong He, Edward Elgar

## 发表中文论文

---

- [52] “股权激励计划能促进企业技术创新么？”（与孟清扬合作），2018，*南开管理评论*（3），176-190
- [53] “金融科技与分析师市场”（与丁娜、金婧合作），2020，*经济研究*（9），74-89
- [54] “常态化防疫”阶段我国经济现状与基于科技的应对之策”（与陈卓、刘碧波合作），2020，*中国科学基金*（34），724-732
- [55] “互联网技术普及下的金融市场与创新：基于全球视角下的检验”（与黄兆君合作），2021，*计量经济学报*（3），541-559
- [56] “中国高校创新成果转移及对创新的影响——以专利转让为例”（与刘春蕊合作），2023，*管理科学学报*（26），23-40
- [57] “推进共同富裕的金融逻辑与路径研究”（与丁娜合作），2023，*四川大学学报（哲学社会科学版）*（246），71-79
- [58] “供应链金融与企业效率升级——来自上市公司公告与地方政策文件的双重证据”（与成程、徐照宣合作），2023，*金融研究*（516），132-149
- [59] “金融科技赋能下供应链金融对企业价值的影响研究：来自大数据文本分析的证据”（与成程、杨胜刚合作），2024，*管理科学学报*（27），95-119
- [60] “专利的可质押性对企业创新的影响”（与刘春蕊合作），2024，*会计研究*（2），99-112
- [61] “对外开放制度创新、全球创新网络嵌入与中国科技国际影响力”（与成程、王一出、张军合作），2024，*管理世界*（10），16-35
- [62] “金融服务科技创新与经济高质量发展中的挑战与展望”，2025，*中国科学基金*（39），274-284
- [63] “数字足迹与违约预测：基于消费信贷的证据”（与胡俊、李强、曾勇、刘嵩合作），2025，*管理科学学报*（28），115-139
- [64] “数智技术赋能科技发展的进展和前沿研究”（与陈卓、孙鑫宇、郑晓龙、张兴伟、杨晓光合作），2025，*中国科学基金*，录用待刊

- [65] “人工智能，人力资本结构与灵活用工——来自中国在线招聘大数据的经验证据”（与刘行、张昊天合作），2025， *经济研究*，录用待刊

## 工作论文

---

- [66] “Do Product Market Threats Discipline Corporate Misconduct?” with Jie Chen, Bin Xu, Xunhua Su and Xiaoyu Zhang
- R&R, *Journal of Financial and Quantitative Analysis*
  - Featured on Columbia Law School’s Blog on Corporations and Capital Markets: <https://clsbluesky.law.columbia.edu/2023/05/19/does-market-competition-discipline-corporate-misconduct/>
- [67] “Acquiring Innovation,” with Merih Sevilir and Che Zhang
- R&R, *Review of Corporate Finance Studies*
  - On the 2012 AFA program
- [68] “Resurrecting Dead Capital: The Sharing Economy, Entrepreneurship, and Job Creation,” with Yifei Mao, Jiajie Xu, and Kailei Ye
- R&R, *Journal of Law and Economics*
  - On the 2022 NBER Entrepreneurship program
- [69] “The Salience of Climate Change and Green Patents Review: Evidence from Climate Disasters,” with Jay Cao, Tao Shu, Yajing Wang, and Xintong Zhan
- CFA Institute Beset Paper Awards on Sustainable Investment, Australian Banking and Finance Conference
- [70] “Unveiling the Fog of Law: Judicial Transparency and Entrepreneurship,” with Xing Liu and Zhiming Zhu
- On the 2026 AFA program
  - On the 2025 NBER Chinese Economy program
- [71] “Do Consumers Care about Pay Inequality? Evidence from Household Purchase Data.” With Konstantinos Bozos, Jie Chen, Yang Gao, and Jiayi Yuan
- On the 2026 AFA Program
- [72] “Diffusion of Technological Innovation along the Supply Chain”, with Che Zhang
- [73] “Financial Market Structure and Cash Holdings: A Laboratory of Dark Trading,” with Jonathan Brogaard, Woon Sau Leung, and Khaladdin Rzayev
- [74] “Pay Transparency and Firm Leverage,” with Woon Sau Leung and Vathunyoo Sila
- [75] “A Robust Green Patent Database: A New Dataset of Green Patents Through Large Language Model,” with Yuan Sun and Yuanchen Yang
- On the 2024 NBER Innovation Information Technical Working Group Meeting
- [76] “Interfirm Collaboration, Ownership Stakes, and Hold-up Costs: Evidence from the Pharmaceutical Industry,” with Matthew Billett and Ioannis Floros

- [77] “From Fragility to Flexibility: How do Firms Respond to Upstream Technology Vulnerability?” with Yuchan Lyu and Che Zhang
- On the 2025 SFS Cavalcade North America Program
- [78] “Inventor Performance Pressure and Strategic Innovation Management,” with Sharon Huang and Tao Shu
- On the 2025 AIEA-NBER Conference on Innovation and Entrepreneurship program
- [79] “Geopolitical Risks and Global Supply Chain Network,” with Bo Jing and Xing Liu
- [80] “Unlocking the Hidden Pharmaceutical Financing: R&D Investment and the Reluctant Selling Expenses,” with Tianyuan Jiang, Nina Yin, and Xuan Zhang
- [81] “Rent Seeking, Brokerage Commissions, and the Pricing and Allocation in Initial Public Offerings,” with Thomas Chemmanur and Bibo Liu
- On the 2018 NBER Chinese Economy program
- [82] “Less is More: Institutional Investors and Corporate Venture Capital,” with Dongxu Li, Yifei Mao and Kailei Ye
- On the 2021 AFA program
- [83] “Innovation under Passive Ownership” with Yuanchen Yang

## 专著和译著

---

- [1] 《创新的资本逻辑》，北京大学出版社，2018
- [2] “Finance and Corporate Innovation: Advancing Innovation from Sustainable Growth: Implications for Asia,” (与 Bihong Huang and Bohui Zhang 共同编著), Edward Elgar Press, 2020
- [3] 《中国金融创新再出发》(合作者: 黄益平、林毅夫、杨凯生、肖刚、沈艳、黄卓、郭凯), 中信出版社, 2020
- [4] 《风险投资, 一部美国历史》(作者: Tom Nicholas, 哈佛大学), 中信出版社, 2020
- [5] 《创新的资本逻辑》(第二版), 北京大学出版社, 2021
- [6] 《风险投资史》(塞巴斯蒂安·马拉比著), 浙江教育出版社, 2022
- [7] 《不完美的理性人: 公司金融通识课》, 机械工业出版社, 2023.
- [8] 《创新的资本逻辑》(第三版), 北京大学出版社, 2025

## 教育背景

---

2008	波士顿学院 金融学 博士学位
2003	华盛顿大学 经济学 硕士学位
2001	北京大学 经济学 学士学位

## 学术期刊主编

---

- 2014 – 至今 副主编, 管理科学 (Management Science)
- 2015 – 至今 副主编, 银行与金融期刊 (Journal of Banking and Finance)
- 2018 – 至今 副主编, 中国经济评论 (China Economic Review)
- 2018 – 至今 联席主编, 亚太金融研究期刊 (Asia-Pacific Journal of Financial Studies)
- 2018 – 至今 副主编, 金融稳定期刊 (Journal of Financial Stability)
- 2021 – 至今 编委, 基础研究期刊 (Fundamental Research)
- 2022 - 至今 顾问主编, 中国会计与财务研究 (China Accounting and Finance Review)
- 2022 - 至今 副主编, 经济学报
- 2025 客座联席主编, 欧洲金融管理期刊金融中的生成式人工智能专刊
- 2021 – 2025 联席主编, 公司金融期刊 (Journal of Corporate Finance)
- 2020 – 2023 编委会成员, 会计评论 (The Accounting Review)
- 2019 – 2022 执行主编, 中国会计与财务研究 (China Accounting and Finance Review)
- 2018 – 2020 副主编, 公司金融期刊 (Journal of Corporate Finance)
- 2015 – 2019 副主编, 亚洲泛太平洋金融期刊 (Pacific-Basin Finance Journal)
- 2014 – 2019 副主编, 国际金融评论 (International Review of Finance)
- 2015 – 2018 副主编, 金融评论 (Financial Review)
- 2013 – 2017 副主编, 亚太金融研究期刊 (Asia-Pacific Journal of Financial Studies)
- 2017 客座主编, 亚太金融研究期刊创业金融与技术创新专刊
- 2016 客座联席主编, 亚洲泛太平洋金融期刊家族企业的经济优势专刊

## 研究资助

---

- 国家杰出青年科学基金延续资助 (首批) (560万元人民币), 项目负责人, 2024.5 – 2028.12
- 卓越青年科学家 (1500万元人民币), 项目负责人, 2019.1-2023.12
- 国家杰出青年科学基金 (280万元人民币), 项目负责人, 2019.1-2023.12
- 国家自然科学基金委专项项目 (50万元人民币), 项目负责人, 2020.4-2021.4
- 国家自然科学基金委重大项目课题 (355万元人民币), 项目负责人, 2018.1-2022.12
- 国家自然科学基金委重大研究计划集成项目 (800万元人民币), 项目参与人, 2018.1-2021.12
- 清华大学研究基金 (30万元人民币), 项目负责人, 2016.1-2018.12
- 清华大学五道口金融学院研究启动基金 (60万元人民币), 项目负责人, 2014.8-2017.7
- 印第安纳大学CIBER教职员研究基金 (6000美元), 项目负责人, 2011.6-2012.6

## 荣誉与奖项

---

- 最佳论文奖, 第十五届金融市场与公司治理会议, 2025



- 通达信最佳论文奖，第四届中国金融前沿学术论坛，2025
- 国家杰出青年科学基金延续资助（首批），国家自然科学基金委员会，2024
- 第十九届“良师益友”奖，清华大学，2024
- 爱思唯尔中国高被引学者，2024，2023，2022，2021
- 全球前2%顶尖学者，斯坦福大学和爱思唯尔，2024
- CFA 协会可持续投资领域最佳论文奖，2024 澳大利亚银行与金融会议，2024
- 2023科睿唯安全球高被引科学家，2023
- Bureau van Dijk ( Moody's Analytics) 公司金融领域最佳论文奖，2023 Australian 银行与金融会议，2023
- 上海市第十六届哲学社会科学优秀成果奖学科学术优秀成果奖论文类二等奖，2023
- 复旦管理学杰出贡献奖，2022
- 清华大学精品课程（《公司金融》），2022
- 最佳论文奖，MIT亚洲会计年会，2022
- 2021年中国十大最受尊敬商学院教授，《世界企业家杂志》，2022
- 北京市第十六届哲学社会科学优秀成果奖二等奖，2021
- 中国青年经济学家奖，2020
- “商学院十大最受欢迎教授”称号，MBA China，2020
- 最佳论文奖，中国管理学协会年度会议，2020
- 教育部第八届高等学校科学研究优秀成果奖（人文社会科学）二等奖，2020
- 詹森最佳论文奖 Jensen Prize (一等奖) in Journal of Financial Economics, 2019
- Korean Investment & Securities Co., Ltd. Outstanding Paper Award in the 14th International Conference on Asian-Pacific Financial Markets, 2019
- Semifinalist for Best Paper Awards in Corporate Finance at FMA Annual Meetings, 2019
- 清华大学研究生精品课程（《公司金融》），2019
- Semifinalist for Best Paper Awards in Corporate Finance at FMA Annual Meetings, 2018
- Semifinalist for Best Paper Awards in Investment at FMA Annual Meetings, 2018
- Semifinalist for Best Paper Awards in Financial Institutions and Market at FMA Annual Meetings, 2018
- Korean Investment & Securities Co., Ltd. Outstanding Paper Award in the 13th International Conference on Asian-Pacific Financial Markets, 2018
- 第十六届“良师益友”奖，清华大学，2018
- 青年教师教学优秀奖，清华大学，2018
- Samsung Securities Co., Ltd. Outstanding Paper Award in the 12th International Conference on Asian-Pacific Financial Markets, 2017
- 教育部“长江学者”特聘教授，2016
- 特拉华公司治理会议最佳论文奖，2016
- 中国管理学青年奖，2016
- “学术新人”奖，清华大学，2016
- 第十五届“良师益友”奖，清华大学，2016
- Best Paper Awards in the Conference on the Theories and Practices of Securities

and Financial Markets, 2016

- Kelley School of Business Research Excellence Awards, 2016
- Honorable Mention, IRRC Institute Research Award, 2015
- Kelley School of Business Research Excellence Award, 2015
- Semifinalist for Best Paper Award in Asian FMA Annual Meetings, 2015
- Kelley School of Business Research Excellence Award, 2014
- Semifinalist for Best Paper Awards in Financial Institutions & Markets at FMA Annual Meetings, 2013
- 詹森最佳论文奖 Jensen Prize (2nd place) in Journal of Financial Economics, 2012
- Kelley School of Business Research Excellence Award, 2012
- Best Paper Award in Financial Institutions & Markets at FMA Annual Meetings, 2012
- Semifinalist for Best Paper Award in Corporate Finance at FMA Annual Meetings, 2012
- Semifinalist for Best Paper Award in Corporate Finance at FMA Annual Meetings, 2011
- Semifinalist for Best Paper Award in Financial Institutions & Markets at FMA Annual Meetings, 2011
- Indiana University CIBER Faculty Research Grant, 2011-2012
- Nominated for the Trustee Teaching Awards at Indiana University, 2010
- NBER Entrepreneurship Research Boot Camp Participant, NBER, 2008
- McGraw-Hill/Irwin Best Corporate Finance Paper Award at Southern Finance Association Meetings, 2007
- Best Doctoral Student Paper Award at Southwestern Finance Association Meetings, 2007
- Doctoral Student Consortium Participant, Financial Management Association, 2007
- Graduate Student Fellowship, Department of Finance, Boston College, 2003-2008
- University Fellowship, Department of Economics, University of Washington, 2001-2003
- 新生奖学金, 北京大学, 1997

## 学术会议主旨演讲

---

**2025:** 首届金融自主知识体系前沿学术研讨会, 西南财经大学  
第四届中国金融前沿学术论坛, 武汉大学  
第三届《金融学季刊》论坛, 中山大学  
首届长江商学院金融研讨会, 长江商学院  
家族企业与公司治理学术研讨会, 清华大学  
第十一届“经世学者”论坛, 清华大学和北京大学

**2024:** 第二十一届金融系统工程与风险管理年会, 首都经贸大学

中国金融学者论坛，中央财经大学  
中小企业发展学术论坛，人民大学  
双清论坛，国家自然科学基金委员会  
第三届中国金融前沿学术论坛，厦门大学  
第十届“经世学者”论坛，清华大学和北京大学

**2023:** 复旦管理学论坛，复旦大学  
第二届中国金融前沿论坛，南开大学  
首届中国公司金融夏季论坛，南开大学

**2022:** 中国企业家论坛，天津  
第七届金融科技与智能监管国际峰会（线上）  
第十届中国投资学年会暨投资学科建设研讨会（线上）  
大中华区金融学术会议（线上）  
第八届“经世学者”论坛，清华大学和北京大学  
亚洲金融管理学术会议博士生论坛（线上）  
数字创新与企业融资国际学术会议（线上）  
金融科技·创新与风险管理暑期研习营（线上）

**2021:** 《中国会计学刊》年会（线上）  
第四届中国会计与金融国际会议（线上）  
澳门大学人文社科高等研究院学术论坛（线上）

**2020:** 中国金融与会计学者论坛（线上）  
第六届“经世学者”论坛，清华大学和北京大学（线上）  
公司金融与金融市场暑期研习营（延期）  
第十五届亚太金融市场国际学术会议博士生论坛

**2019:** 第十届亚太创新学术会议  
中国会计金融创新论坛  
公司金融与金融市场暑期研习营  
会计与金融研讨会  
第十四届亚太金融市场国际学术会议博士生论坛

**2018:**第十三届亚太金融市场年会  
国家自然科学基金委管理科学部 2018 年度工商管理学科青年科学基金项目主持人学术交流会，广东工业大学  
公司金融与金融市场暑期研习营

**2017:**澳大利亚银行与金融会议博士生论坛，澳大利亚悉尼  
冬季金融学术会议，人民大学  
中国金融评论国际学术会议，上海交通大学  
第十四届服务系统与服务管理国际学术会议，大连  
创业金融与金融市场暑期研习营

**2016:** 中国与世界宏观经济学术会议，复旦大学  
国际金融与货币政策学术会议，宁波诺丁汉大学  
创业金融与金融市场暑期研习营  
暑期研习营，上海交通大学

中国资本市场学术会议，北京大学

**2015:** 博士生论坛，澳大利亚银行与金融会议，澳大利亚悉尼  
首届“经世学者”论坛，清华大学和北京大学

**2014:** CEAR/Finance Department Symposium at the Georgia State University  
HKUST Entrepreneurship and Finance Conference, Hong Kong, China  
Research Camp for Young Scholars, Renmin University, Beijing, China

**2012:** Tsinghua International Corporate Governance Conference, Beijing, China

**2011:** Canadian Conference on Economics of Entrepreneurship and Innovation,  
Kingston, Canada

## 学术会议出席

---

**2026:** American Finance Association Annual Meetings (Philadelphia, 2 papers)

**2025:** NBER Chinese Economy Program Conference (Boston), SFS Finance  
Cavalcade North America Conference (Newark), AIEA-NBER Conference on  
Innovation and Entrepreneurship (Hong Kong, China), American Law and  
Economics Association Annual Meeting (New York City), China International  
Conference in Finance (Shenzhen, China, 4 papers), EUROFIDAI – ESSEC  
Paris December Finance Meeting (Paris, France), UNSW Corporate Finance  
Workshop (Sydney, Australia), Australasian Finance and Banking Conference  
(Sydney, Australia), Financial Management & Accounting Research  
Conference (FMARC) (Paphos, Cyprus, 4 papers), 2<sup>nd</sup> Financial Fraud,  
Misconduct and Market Manipulation Conference (Lancaster, UK), 2025 MRS  
International Risk Conference (Boston), Five-Star Asia Pacific Workshop in  
Finance (Singapore), China Finance Frontier Research Conference (Wuhan,  
China), Financial Management Association European Conference (Limassol,  
Cyprus, 2 papers), 14th IBEO - Corporate Finance, Financial Intermediation &  
Macro-Finance meeting (Alghero, Italy), Asia-Pacific 5-star Workshop  
(Singapore), European Financial Management Association Meetings (Athens,  
Greece), Financial Markets and Corporate Governance Conference (Changsha,  
China), Dishui Lake International Conference in Finance (Shanghai, China),  
Beijing Institute of Technology, South China University of Technology

**2024:** American Finance Association Annual Meetings (San Antonio), NBER  
Innovation Information Technical Working Group Meeting (Boston), China  
International Conference in Finance (Beijing, China), ABFER Annual  
Conference (Singapore), Financial Management Association Meetings  
(Dallas), Research in Behavioral Finance Conference (Amsterdam),  
Australasian Banking and Finance Conference (Sydney, Australia), Sydney  
Banking and Financial Stability Conference (Sydney, Australia), 2<sup>nd</sup> Summer  
Meeting in Urban Economics (Beijing, China), Midwest Finance Association  
Annual Meetings (Chicago), International Risk Management Conference

(Italy), International Conference in Accounting, Finance, and Banking (England), China Tech-Fin Research Conference (Shanghai, China), Renmin University, Nankai University

- 2023:** Western Finance Association Annual Meetings (San Francisco), NBER Chinese Economy Program Conference (Boston), ABFER 10<sup>th</sup> Annual Conference (Singapore), China International Conference in Finance (1 paper, 1 discussion, Shanghai, China), 7<sup>th</sup> Annual M&A Research Centre Conference (London, UK), 10<sup>th</sup> Annual Conference on Financial Market Regulation (Washington, DC), Northern Finance Association Meetings (Toronto, Canada), French Finance Association Conference, (Bordeaux, France, 2 papers), Bretton Woods Accounting and Finance Ski Conference (Bretton Woods), Northeastern University Finance Conference (Boston), Financial Management Association Meetings (Chicago), 1<sup>st</sup> China Corporate Finance Summer Forum (Tianjin, China), Australian Banking and Finance Conference (Sydney, Australia), Hawaii Accounting Research Conference (Honolulu), Conference on New Developments and Issues in Contemporary Financial Markets and Banking (Virtual), 11<sup>th</sup> Hong Kong Economic Association Biennial Conference (Hong Kong, China), Peking University
- 2022:** American Finance Association Annual Meetings (Virtual, 2 papers), NBER Summer Institute Conference (Boston), NBER Entrepreneurship Program Conference (Boston), MIT Asia Conference in Accounting (Virtual), Financial Management & Accounting Research Conference (Limassol, Cyprus), SFS Cavalcade Asia-Pacific (Shenzhen, China), China International Conference in Finance (Shanghai, China, 2 papers), European Financial Management Association Meetings (Lyon, France), Southern Finance Association Annual Meetings, Paris Financial Management Conference (Paris, France) Owners as Strategists Conference (Virtual), Entrepreneurial Finance Annual Meetings (Bath, U.K.)
- 2021:** American Finance Association Annual Meetings (Paper and Discussion, Virtual), China International Conference in Finance (Shanghai, China, 2 papers), China Financial Research Conference (Beijing, China, 2 papers), Northern Finance Association Annual Meetings (Virtual), Eastern Finance Association Meetings (Virtual), Central University of Finance and Economics
- 2020:** The Financial Intermediation Research Society Conference (Budapest, Hungary, postponed), SFS Finance Cavalcade Conference (Virtual), Financial Management Association Meetings (Virtual), Northern Finance Association Meetings (Virtual), Boca Corporate Finance and Governance Conference (Virtual), 3<sup>rd</sup> China Finance Scholar Forum (Virtual), Paris Finance Meeting (Virtual), Australian Banking and Finance Conference (Virtual), International Conference on Asia-Pacific Financial Markets (Virtual), Zhejiang University
- 2019:** Western Finance Association Annual Meetings (Huntington Beach), China International Conference in Finance (Guangzhou, China, 3 papers), Financial

Management Association Meetings (New Orleans, 2 papers), China Financial Research Conference (Beijing, China), Financial Management Association European Meetings (Glasgow, Scotland), Journal of Financial Satiability Conference on Economic Policy Uncertainty (Tokyo, Japan), International Conference on Asia-Pacific Financial Markets (Seoul, Korea), Tianjin University

**2018:** American Finance Association Annual Meetings (Philadelphia), NBER Chinese Economy program (Beijing, China), China International Conference in Finance (Tianjin, China, 4 papers), MIT Asia Conference in Accounting (Hong Kong, China), Fintech, Credit, and the Future of Banking Conference (Luzern, Switzerland), Financial Management Association Meetings (San Diego, 4 papers), 13<sup>th</sup> International Conference on Asia-Pacific Financial Markets (Seoul, Korea), Inaugural China Finance Scholar Forum, Asia/Pacific Financial Management Association Meetings (Invited Session, Hong Kong, China), Sun Yat-Sen University Finance Conference (Invited Speaker, Zhuhai, China), Peking University, Renmin University, Hunan University

**2017:** American Finance Association Annual Meetings (Chicago, discussion), SFS Cavalcade Asia-Pacific (Beijing, China), China International Conference in Finance (Hangzhou, China, 3 papers), Financial Management Association Meetings (Boston, 2 papers), Asia/Pacific Financial Management Association Meetings (Invited Session, Taipei, China), Australian Banking and Finance Conference (Sydney, Australia), Midwest Finance Association Meetings (Chicago), CAFM Conference (Seoul, Korea), University of International Business and Economics, Xiamen University, Shanghai Finance and Law Research Institute, Central University of Finance and Economics, Nanjing University

**2016:** American Finance Association Annual Meetings (San Francisco, 3 papers), The Financial Intermediation Research Society Conference (Lisbon, Portugal), SFS Finance Cavalcade Conference (Toronto, Canada), Delaware Corporate Governance Symposium (Newark), European Finance Association Annual Meetings (Oslo, Norway, 2 papers), Conference on Financial Economics and Accounting (Toronto, Canada), China International Conference in Finance (Xiamen, China, 4 papers), MIT Asian Conference in Accounting (Xiamen, China), Asian Bureau of Financial and Economic Research (Singapore, discussion), Australasian Finance and Banking Conference (2 papers, Sydney, Australia), Southern Finance Association Annual Meetings (San Destin), China Finance Research Conference (Beijing, China), CAFM Conference (Seoul, Korea), Paris Financial Management Conference (Paris, France), Peking University (National Institute of Development), Renmin University, Haerbin University of Technology

**2015:** American Finance Association Annual Meetings (Boston, 3 papers), Conference on Financial Economics and Accounting (Newark), China International Conference in Finance (Shenzhen, China, 2 papers), Financial

Management Association Annual Meetings (Orlando, 2 papers), Journal of Law, Finance and Accounting (JLFA) conference (Hong Kong, discussion), Asian Financial Management Association Meetings (Seoul, South Korea), Australian Banking and Finance Conference (Sydney, Australia, discussion), Tsinghua University (PBC School of Finance)

**2014:** Western Finance Association Annual Meetings (Monterey), American Economic Association Annual Meetings (Philadelphia), Association of Financial Economics Annual Meetings (Philadelphia), European Finance Association Annual Meetings (Lugano, Switzerland, 2 papers), Napa Conference on Financial Markets Research (Napa Valley), CEAR/Finance Department Symposium at the Georgia State University (Atlanta), The Financial Intermediation Research Society Conference (Quebec City, Canada, paper and discussion), Entrepreneurial Finance and Innovation Conference (Boston, 2 papers), Conference on Financial Economics and Accounting (Atlanta), China International Conference in Finance (Chengdu, China, 3 papers and 2 discussions), Financial Management Association Annual Meetings (Nashville), London Business School Summer Finance Symposium (London, UK), Northern Finance Association Annual Meetings (Ottawa, Canada), Australian Banking and Finance Conference (Sydney, Australia, discussion), Indiana University, Tsinghua University (School of Economics and Management), Peking University (Guanghua School of Management, School of Economics)

**2013:** Western Finance Association Annual Meetings (Lake Tahoe, 4 papers), American Finance Association Annual Meetings (San Diego), NBER Productivity, Innovation, and Entrepreneurship Program Conference (Boston), European Finance Association Annual Meetings (Cambridge, UK), Kauffman Entrepreneurial Finance and Innovation Conference (Boston), SunTrust FSU Finance Spring Beach Conference (Sandestin), China International Conference in Finance (Shanghai, China), Inaugural CSEF Conference on Finance and Labor (Capri, Italy), Summer Institute of Finance Conference (Suzhou, China), Inaugural CEPR European Workshop on Entrepreneurship Economics (Amsterdam, Netherlands), Financial Management Association Meetings (Chicago, 2 papers), State of Indiana Finance Symposium (Bloomington), Financial Management Association European Conference (Luxembourg, Luxembourg), Columbia University, Dartmouth College, Harvard Business School (EM unit), Southern Methodist University, University of Texas at Dallas, Texas Christian University, Temple University, University of Delaware, Tsinghua University (Accounting), Cheung Kong Graduate School of Business, Chinese University of Hong Kong, Hong Kong University of Science and Technology, City University of Hong Kong, SAIF of Shanghai Jiao Tong University, PBC School of Finance at Tsinghua University, Indiana University

- 2012:** American Finance Association Annual Meetings (Chicago, 2 papers), Western Finance Association Annual Meetings (Las Vegas, discussion), European Winter Finance Conference (Flims, Switzerland), The Financial Intermediation Research Society Conference (Minneapolis, paper and discussion), European Finance Association Annual Meetings (Copenhagen, Denmark), Kauffman-RCFS Entrepreneurial Finance and Innovation Conference (Boston, 2 papers), Tsinghua University International Corporate Governance Conference (Beijing, China, invited speaker), Kauffman Foundation-USPTO Conference on Innovation and Entrepreneurship (Chicago), China International Conference in Finance (Chongqing, China), Financial Management Association Annual Meetings (Atlanta, 4 papers), the CFA-FAJ-Schulich Conference on Fraud, Ethics, and Regulation (Toronto), Law and Entrepreneurship Retreat (Bloomington), Indiana University, Purdue University, University of South Florida, Tsinghua University
- 2011:** Western Finance Association Annual Meetings (Santa Fe), NBER Entrepreneurship Program Conference (Boston), American Economic Association Annual Meetings (joint with ASSA, Denver), Econometric Society Winter Meetings (joint with ASSA, Denver), Inaugural SFS Finance Cavalcade (Ann Arbor, 2 papers), Third Paris Spring Corporate Finance Conference (Paris), The Financial Intermediation Research Society Conference (Sydney, 2 papers), Kauffman-RCFS Entrepreneurial Finance and Innovation Conference (Boston), SunTrust FSU Finance Spring Beach Conference (Sandestin, 2 papers), Annual Conference on Entrepreneurship and Innovation at Northwestern University (Chicago, paper and discussion), Canadian Conference on Economics of Entrepreneurship and Innovation (Kingston, Canada, invited speaker), China International Conference in Finance (Wuhan, China, 2 papers), Financial Management Association Annual Meetings (Denver, 3 papers), European Financial Management Symposium on Alternative Investments (Toronto), Indiana University
- 2010:** Annual Conference on Financial Economics and Accounting (College Park), The Financial Intermediation Research Society Conference (Florence), Inaugural Entrepreneurial Finance and Innovation Conference (Boston, 2 papers), 2<sup>nd</sup> ESSEC Private Equity Conference (Paris), Napa Conference on Financial Markets Research (Napa Valley), Annual Behavioral Conference at DePaul University, SunTrust FSU Finance Spring Beach Conference (Sandestin), China International Conference in Finance (Beijing, China), Financial Management Association Annual Meetings (New York City, 2 papers), Tsinghua University, Peking University, Shanghai JiaoTong University, Indiana University (x2)
- 2009:** NBER Entrepreneurship Program Conference (Boston), 6<sup>th</sup> Annual Conference on Corporate Finance at Washington University, Annual Conference on Financial Economics and Accounting (New Brunswick, paper and discussion), IU-Notre Dame-Purdue Finance Symposium (West Lafayette), Financial



Management Association Annual Meetings (Reno, 2 papers), 2<sup>nd</sup> Annual Conference on Entrepreneurship and Innovation (Chicago), China International Conference in Finance (Guangzhou, China, 2 paper and 2 discussions), Midwest Finance Association Annual Meetings (Chicago, discussion)

**2008:** The Financial Intermediation Research Society Conference (Anchorage, 2 papers and 2 discussions), Annual Conference on Financial Economics and Accounting (Austin), University of Alberta, University of Arkansas, Chinese University of Hong Kong, Georgetown University, Georgia State University, Hong Kong University of Science and Technology, Indiana University, University of Notre Dame, Queen's University, University of South Florida, University of Tennessee, Tulane University

**2007:** Western Finance Association Annual Meetings (Big Sky), European Finance Association Meetings (Ljubljana, 2 papers), Financial Management Association Meetings (Orlando, 3 papers), Southern Finance Association Meetings (Charleston, 3 papers), China International Conference in Finance (Chengdu, China, paper and discussion), Annual Trans-Atlantic Doctoral Conference (London, paper and discussion), Eastern Finance Association Meetings, Midwest Financial Association Meetings, Southwestern Financial Association Meetings, University of Alabama, Boston College (x2), Clemson University, Iowa State University, Lehigh University, University of New Hampshire, University of Rhode Island, University of San Diego, Southern Illinois University at Carbondale, SUNY Buffalo, University of Hong Kong

**2006:** Boston College

## 教学经历

### 课程

- 公司金融（硕士），清华大学，2014秋-2025秋
- 高级金融前沿问题（博士），清华大学，2015秋-2018秋，2020春-2025春
- 全球私募股权市场（MBA，EMBA，高管教育），清华大学，2015春-2025春，2015秋-2025秋
- 公司金融（MBA，高管教育），清华大学，2016春，2018春-2025春，2016秋-2025秋
- 公司治理（高管教育），清华大学，2016春和2016秋，2017春
- 金融学研究方法（MBA，高管教育），清华大学，2014秋，2021秋-2025秋
- 企业财务战略与公司治理，印第安纳大学，2008-2011秋季，2013-2014春季
- 中级公司金融，印第安纳大学，2008年秋季
- 金融学基础，波士顿学院，2007年秋季
- 初级微观经济学，华盛顿大学，2002年夏季

博士学位论文委员会主席（最初毕业去向）

- 在读博士生：杜幸泽、苏铮、朱志铭、吕昱婵、王一帆、窦盛冉、康炜隆、经菠、高昕来、马国源、黄玉彬、刘嘉桐、刘姝睿
- 王可心（中国人民大学财政金融学院，2025）
- 余金馨（中央财经大学会计学院，2025）
- 崔逸超（国家发展与改革委员会，2025）
- 马凌（中国投资有限责任公司，2025）
- 张澈（清华大学经济管理学院，2024）
- 寇舒博（南开大学金融学院，2024）
- 王子铭（财政部，2024）
- 刘宇（中国建设银行，2024）
- 赵侦蓉（中国光大银行，2024）
- 吴泽文（国投资本，2024）
- 欧阳方家（高盛集团，2023）
- 王一出（宁波诺丁汉大学管理学院，2023）
- 于毅（中信集团，2022）
- 崔东琪（国家安全部，2022）
- 刘春蕊（对外经济贸易大学国际商学院，2021）
- 钱佳琪（国家发展改革委员会，2021）
- 李理（方正证券，2021）
- 杨元辰（IMF 经济学家，2020）
- 隗玮（芝加哥大学博士后，2020）
- 李响（赴美攻读金融学博士，2019）
- 赵文庆（广发基金管理有限公司，2019）
- 丁娜（中央财经大学金融学院，2018）
- 孟清扬（华夏基金，2018）
- Yifei Mao（康奈尔大学酒店管理学院，2015）
- Brian Wolfe（纽约州立大学布法罗分校管理学院，2014）

#### 博士学位论文委员会委员（毕业去向）

- Kenneth Weakley（金融学，印第安纳大学，2014）
- Bader Alhashel（金融学，科威特大学，2012）
- Alexander Borisov（金融学，辛辛那提大学，2012）
- Daniel S. Kim（金融学，中国北京大学，2012）
- Eun Seok Han（经济学，韩国POSCO研究院，2011）

#### 博士后（出站去向）

- 张铎兮（南开大学金融学院，副教授）
- 黄志宏（华南理工大学管理学院，副教授）
- 刘晨冉（中国人民大学经济学院，助理教授）
- 何超（业界）
- 彭云峰（上海交通大学安泰管理学院，教授）
- 周政达（业界）
- 吴圣金（中共广东省委党校，讲师）

#### 博士论文开题审查委员会外部成员

- Gabby Wang (Accounting, Indiana University, 2013)
- Kailei Ye (Finance, University of North Carolina at Chapel Hill, 2020)
- Harshit Rajaiya (Finance, Boston College, 2020)
- Jiajie Xu (Finance, Boston College, 2022)
- Xiang Li (Finance, Boston College, 2024)

#### 学生指导（金融学硕士/技术转移硕士）

- 叶恺蕾 (继续读博, 北卡罗来纳大学教堂山分校)、杨元辰 (本校读博)、杨茉然、刘行 (继续读博, 大不列颠哥伦比亚大学)、金婧、张诏 (外校读博, 南加利福尼亚大学)、张派、寇舒博 (本校读博)、蔡宇轩、刘东岳、刘宇(本校读博)、魏嘉颐 (继续读博, 欧洲工商管理学院)、王子铭(本校读博)、刘祝智、完颜许健、胡鑫、李文杰、王铭薇、高学成、周佳慧、甄明昊、方颀雅、何天宇、何东鹏、朱柄各、王岳岩、王琪、张强、林忆梦、李钰萱、李佳琪、杨钊、梁欣然、李婕、劳越、王禹清、卢西川、袁俊童、伍逸文、胡佳义、丁睿、史开元、李治、何佳铭、何翔宇、冯丽晨、尹伊晨、张勇、张雅乔、朱志铭(本校读博)、李璇、李祎、杜致衡、梁华栋、玄雨澄、王璐、邓佳琦、黄茜、吴春生、李佳璇、于航、王洁璇、王柳尹、张桓牧、周博文、徐家扬、万瑞齐、付宇彤、任南旭、夏洪涛、张诗语、朱腾、李沅鑫、杨祖华、林俐、王思祺、茅佳怡、袁榆涛、邓逸舟、马静怡、高艺宁、刘彦麟、刘怡彤、刘美辰、王耕、马骁勇、汪周、张力文、翟雪、陈瀚然、余映茹、卢泓伶、邢适之、王恩哲、韩屹、范道旭 (本校读博)、张天煦、杨钧天、陈佳艺、田程

#### 学生指导（MBA、EMBA、DBA）

- 蒋珉昀、梁琦、任伦、李萍萍、田长庚、姜鸢、任望宇、窦文扬、姜帆、蔡佳、吴桐、刘文静、黄珂莹、高姗、蒋丹斐、谈翔、曾自力、张瑞、秦佐玲、谢晓霞、王萌、郑力戈、魏炫丞、张帅、张小荷、李熙、李俊凯、吴彦、赵天奥、莫静茹、陈安琪、杨鹏、李岸隆、陆嘉捷、黄佳欣、吴昱成、李鹏飞、王丹、刘洋、王震君、田宇、张睿、李昱、张鲁青、袁世涛、张敬雷、王大为、许力、李然、周延、任晓剑、姜明、曹炜、吴天明、陈翌炜、张腾博、李冉、姬蔓、张云龙、贾洋、张鼎新、孟郁聪、吴瑶、殷勤、刘希然、曲直、张久中、卢丹、柳倩、蒋晓莹、焦君涵、王炳相、武婧敏、徐隆灿、赖德芳、吴宏浩、胥博、张辛夷、王皓昇、肖婷婷、张非凡、阮楚尧、金严喆、李夏特、程洋湜、田传钊、王刚、王凌轩、周元、丁一博、马士勇、王东、包碧川、冯源、耿艺宸、郭晓双、李雨桐、刘旻、卢一波、罗笑然、潘憬倩、蒲韵淇、石磊、孙骏飞、唐娜、王果甸、王志晓、吴昊、肖凌珂、熊佳妮、余清、詹兰、章志诚、左涵、范博扬、房铭、覃璇、田雨娇、范大欣、林盛、李莹人、陈建华、韩超、李从文、田立坤、张怀亭、Kaveh Wong Tz Toe、Park Joon Sung、南立新、高颖、王相睿、金地、王际惟、赖嘉琪、江嘉庐、金汇文、张子佩、王薇然、龚政、李长怡、孟博、赵都、周欣桐、侯玥、李舟、杨子江、罗燕妮、郭佳、陆思奇、陈诗琪、刘浩、唐书空、曲志远、柴樱珊、徐瑾、肖静楠、马珂、吴兆珩、左喜鹏、安东、杨祺、纪晨、徐巍、胡杨、邵诚、李响、范东睿、刘孝飞、李少华、张卫华、曲耀辉、王敦平、陈东明、

杨晨、李旭、杨波、陈立洋、蔡晶琦、胡斌、向志鹏、陈毅龙、马超、肖彬、赵亮、Chua Wee Liang、Budi Jonathan Sudharta、杨晓丹、韦茜、Aaron Tan Wei Cheng、陈晖、梁猛、刘权锋、沈楠、宋可心、杨安明、杨建文、周康、邓明生、高兵华、孔维毅、任儒鹏、李莉、刘丽华、张伟、程力、古元峰、吴明浩、蒋海峰、董敬春、袁岚、罗红萍、杨和、杨阳、孟祥昊、徐纶、梅佳琰、耿超群、邱悦、李尚颖、杨开创、黎艳萍、马明磊、戴靖泽、汪婕、高明、石齐天、陈怀博、刘思艺、刘秋霞、郭泽锦、伍星儿(继续读博，杜克大学)、于京灏、王子豪、蓝怀昭、林伟栋、闫冰冰、芦嘉馨、季顺哲、黄腾飞、马洪亮、高可、王家明美、张烨佳、谢昀泽、王俏、王文梓、殷一珂、钟一鼎、赵冬梅、周石雨、罗挺霞、孙卿东、王羽潇、陈崴、朱志、叶秋芳、毛羽建、张文、谭婧

#### 新生导师

- 2024至今 清华大学至善书院

#### 教学助理

- 2005-2007 波士顿大学，高级公司金融研讨会（博士生课程），公司金融研讨会（博士生课程），高级公司金融（MBA课程），金融经济计量学（MBA课程），金融学基础（本科课程）
- 2002-2003 华盛顿大学，微观经济学入门（本科课程）

### 校内外服务

- 国家自然科学基金委重大项目“科技金融的基础理论与实证”专家指导组成员，2025 - 2029
- 全球金融研究所，国际和区域合作者及顾问委员会成员，2024 至今
- 五星金融论坛，理事长，2024 至今
- 国家自然科学基金委重大专项项目“中国经济发展的理论与实践”（第二期）专家指导组成员，2024 - 2027
- 国家自然科学基金委专项项目群“基于数据与行为的金融系统建模分析”专家指导组成员，2024 ~ 2027
- 欧洲管理与技术学院学术委员会委员，2023 至今
- 国家自科基金重大项目“数字经济反垄断理论与政策研究”学术委员会成员，2022-2026
- 国家自然科学基金委重大专项项目“中国经济发展的理论与实践”（第一期）专家指导组成员，2022-2025
- 国家自科基金委管理学部发展与战略咨询委员会委员 2019-2021
- Coordinator, Asia/Pacific Financial Management Association Ph.D. Consortium, 2018
- 咨询专家，世界银行, 2017
- Advisory Board Member, FMA Survey & Synthesis Series, 2016-2018
- 国际学术期刊评审人
  - i. *American Economic Review*, *Journal of Finance*, *Journal of Financial Economics*, *Review of Financial Studies*, *Journal of Financial and*

*Quantitative Analysis, Management Science, The Accounting Review, Review of Finance, Nature Communications, Review of Corporate Finance Studies, Research Policy, Journal of Corporate Finance, Journal of Financial Intermediation, Journal of Money, Credit, and Banking, International Economic Review, Journal of Economics & Management Strategy, Journal of Economic Geography, Journal of Business Venturing, Journal of Banking and Finance, China Economic Review, Economics Letters, Financial Management, Journal of Empirical Finance, Journal of Business Finance and Accounting, Finance Research Letters, European Economic Review, European Financial Management, Financial Review, Journal of Legal Studies, Journal of Economics and Business, Pacific-Basin Finance Journal, Financial Review, Quarterly Review of Economics and Finance, Corporate Governance: An International Review, International Business Review*

- 晋升与终身教职外部评审
  - i. *Indiana University, University of Texas at Dallas, Baruch College, University of Alabama, Lehigh University, Indian School of Business, Sungkyunkwan University, University of Hong Kong, Lingnan University, Macau University of Science and Technology, Peking University (HSBC Business School), Peking University (School of Economics), Tsinghua University (PBC School of Finance), Tsinghua University (School of Economics and Management), Shanghai University of Finance and Economics*
- 主席，五星金融论坛，2024 至今
- 助理主席，西部金融学会年会，2026
- 联合主席：中国金融学术年会（CFRC）（2025、2024、2023、2022）
- 联合主席：中国金融前沿研究会议(2025、2024、2023、2022)
- 大会联合主席：自然科学基金委双清论坛，2024
- 大会联合主席：五星金融会议，2022
- 联合主席：数字经济年会，2022
- 联合主席：中国金融国际年会 CICF ， 2015
- 大会联合主席：RFS-PBCSF Conference on Entrepreneurial Finance and Innovation Around the World ， 2015
- 评审委员会成员:西部金融协会年会(2026、2019、2018、2017、2016、2015、2014)
- 评审委员会成员:SFS Cavalcade 北美会议(2025、2023、2012)
- 评审委员会成员:SFS Cavalcade 亚太会议(2025、2019、2018、2017、2016)
- 评审委员会和会议主席:中国金融国际年会 CICF (2025、2024、2023、2022、2021、2020、2019、2018、2017、2016、2014、2013)
- 评审委员会成员:中国金融科技研讨会 (2025、2024、2023、2022、2021、2019)
- 评审委员会成员: Northern Finance Association Meetings (2025、2024、2023、2022、2021、2020、2019)
- 香港研究资助局外部评审(2025、2024、2023、2022、2021、2020、2019、2018、2017、2016、2015、2014、2013、2012、2011)
- 国家自然科学基金评审(2025、2024、2023、2022、2021、2020、2019、2018)
- 评审委员会成员:中国金融学者研讨会 (2025、2024、2023、2022、2021、2020、

- 2019, 2018)
- 评审委员会成员: Summer Institute of Finance (2024, 2023、2022、2021、2020、2019、2018、2017)
  - “长江学者”奖励计划评审(2024、2023、2022、2021、2020、2019、2018、2017)
  - 奖项评审委员会成员: 成思危奖(2025、2023、2021、2018)
  - 评审委员会成员: GSU-RFS FinTech Conference , 2023
  - 以色列科学基金会评审, 2023
  - 评审委员会成员, 分会主席: 中国金融学术年会 (CFRC)(2021、2019、2018、2017、2016)
  - 评审委员会成员:财务管理协会年会(2020, 2019, 2018, 2017, 2016, 2015, 2014, 2013, 2012, 2011, 2009, 2008)
  - 联合主席:创业金融与金融中介暑期研究训练营(2019、2018、2017、2016)
  - 评审委员会成员: CUHK-RCFS 企业融资与金融中介会议, 2019
  - 评审委员会成员:澳大利亚金融与银行会议(2018、2017)
  - 评审委员会成员:孙冶方金融创新奖(2018、2016、2015)
  - 评审委员会成员:中国金融评论国际会议, 2018
  - 评审委员会成员:欧洲金融协会年会(2017、2016、2015)
  - 评审委员会成员:MIT 亚洲会计年会, 2017
  - 评审委员会成员:亚洲财务管理协会会议(2017、2016、2015、2014)
  - 会议主席: 中西部金融协会会议, 2017
  - 评审委员会成员:金融经济与会计年会(2016、2015、2011)
  - 外部评审, 新加坡教育部社会科学研究专题资助项目, 2015
  - 评审委员会成员:第七届欧洲银行中心会议, 2015
  - 外部评审, 加拿大社会科学人文研究理事会, 2014、2013、2010
  - ”*Entrepreneurial Finance*”书籍提纲审稿人, Gary Gibbons、Robert Hisrich 和 Carlos Silva 著, SAGE 出版社 , 2012
  - 评审委员会:中西部金融协会会议, 2009
  - 评审委员会:东部金融协会会议(2008, 2007)
  - 评审委员会:南部金融协会会议, 2007
  - Finance Department Doctoral Committee, 凯利商学院, 2013 – 2014
  - Finance Department Seminar Series Coordinator, 凯利商学院, 2011 – 2013
  - Finance Department Undergraduate Committee, 凯利商学院, 2010 – 2011; 2013 – 2014
  - Judge for Kelley Global Scholar Case Competition, 凯利商学院, 2014
  - Undergraduate Policy Committee, 凯利商学院, 2013 – 2014
  - Research Policy Committee, 凯利商学院, 印第安纳大学, 2012 – 2013
  - Honors Program Committee, 凯利商学院, 印第安纳大学, 2011 – 2012